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12/31/01  
JC882 U.S. PTO

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PATENTS, TRADEMARKS, COPYRIGHTS

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BARRY R. LIPSITZ  
DOUGLAS M. McALLISTER

BOX PATENT APPLICATION  
Commissioner for Patents  
Washington, D.C. 20231

UTILITY PATENT APPLICATION TRANSMITTAL  
FILED UNDER 37 C.F.R. §1.53(b)

JC826 U.S. PTO  
10/039156  
12/31/01

Sir:

Transmitted herewith for filing is the patent application of:

Inventor(s): **R. SAFADI**

Title: **METHODS AND APPARATUS FOR DIGITAL RIGHTS MANAGEMENT**

Assignee: **General Instrument Corporation**

APPLICATION ELEMENTS:

- (1) ☒ Patent Application Specification, including Abstract and claims - 20 pages
- (2) ☒ One (1) sheet of formal drawing, together with transmittal letter
- (3) ☒ A check in the amount of **\$1,104.00** to cover the ☒ filing fee (**\$1,064.00**) and/or ☒ Assignment Recordal Fee (**\$40.00**) is enclosed.

- ☐ Before calculating the fee, cancel claim(s)
- ☐ Before calculating the fee, see copy of Preliminary Amendment filed herewith.

|                                     |                    |           |    |           |   |       |             |
|-------------------------------------|--------------------|-----------|----|-----------|---|-------|-------------|
| Basic Fee                           |                    |           |    |           |   |       | \$740.00    |
| Multiple Dependent Claims (\$280.)  |                    |           |    |           |   |       |             |
| Foreign Language Surcharge (\$130.) |                    |           |    |           |   |       |             |
|                                     | For                | No. Filed | -  | No. Extra |   | Rate  |             |
| EXTRA                               | Total Claims       | 38        | 20 | 18        | x | \$18. | = \$324.00  |
| CLAIMS                              | Independent Claims | 2         | 3  | 0         | x | \$84. | =           |
| TOTAL FILING FEE                    |                    |           |    |           |   |       | = \$1064.00 |

☒ The Commissioner is hereby authorized to charge any deficiency in the payment of the required fee(s) or credit any overpayment to Deposit Account No. 50-0625.

10039156-123101

**Box Patent Application**  
**Commissioner for Patents**  
**Page 2**

- (4) ☒ Declaration and Power of Attorney form -- 2 pages  
a. ☒ Newly executed  
b. ☐ Copy from a prior application  
c. ☐ Deletion of inventor(s) -- signed statement attached deleting inventor(s) named in the prior application.
- (5) ☐ Applicant claims small entity status

**ACCOMPANYING APPLICATION PARTS:**

- (6) ☒ Patent Application Data Entry Form - 1 sheet  
(7) ☒ Assignment document  
a. ☒ Newly executed (with \$40.00 recordal fee) and separate transmittal Form PTO-1595  
b. ☐ Copy from a prior application  
☒ Please include assignee information when this application is published. Assignee:  
**General Instrument Corporation**  
**101 Tournament Drive**  
**Horsham, Pennsylvania 19044**  
**United States of America**
- (8) ☐ Preliminary Amendment  
(9) ☐ Certified Copy of Priority Document, together with separate transmittal letter  
(10) ☐ Information Disclosure Statement, together with PTO Form 1449 and copies of cited references
- (11) ☒ Return receipt postage prepaid postcard  
(12) ☒ Express Mail Certificate (Mailing Label No. EV 046 687 002 US)  
(13) ☐ Other:  
a. ☐

- (14) ☐ If a **CONTINUING APPLICATION**, check appropriate box, and supply the requisite information below:

☐ Continuation ☐ Divisional ☐ Continuation-in-part (CIP)  
of prior application no.: \_\_\_\_\_  
Prior application information:  
Examiner: \_\_\_\_\_ Group/Art Unit: \_\_\_\_\_  
Status: \_\_\_\_\_

[illegible]

Barry R. Lipsitz  
Attorney for Applicant(s)  
Registration No. 28,637  
755 Main Street  
Monroe, CT 06468  
(203) 459-0200

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: )  
 )  
 R. Safadi )  
 )  
 Application No.: )  
 )  
 Filed: Herewith )  
 )  
 Title: METHODS AND APPARATUS FOR DIGITAL )  
 RIGHTS MANAGEMENT )

BOX PATENT APPLICATION  
 Commissioner for Patents  
 Washington, D.C. 20231

**EXPRESS MAIL CERTIFICATE**

"Express Mail" Mailing Label No: EV 046 687 002 US Date of Deposit: December 31, 2001.

I hereby certify that the attached:

- [x] Check in the amount of \$1,104.00 (filing fee (\$1,064.00) and Assignment Recordal Fee (\$40.00)
- [x] Patent Application Transmittal Letter - 3 pages
- [x] Patent Application Data Entry Form - 1 sheet
- [x] Patent Application Specification, including claims and Abstract - 20 pages
- [x] One (1) Sheet of Drawing, together with separate transmittal letter
- [x] Declaration and Power of Attorney - 2 pages
- [x] Assignment, together with *Recordation Form Cover Sheet* Form PTO 1595 - 3 sheets

are being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 C.F.R. 1.10 on the date indicated above and is addressed to: BOX PATENT APPLICATION, Commissioner for Patents, Washington, D.C. 20231.

Carol Prentice

Typed or printed name of person mailing paper or fee

*Carol Prentice*

Signature of person mailing paper or fee

Respectfully submitted,

*Barry R. Lipsitz*

Barry R. Lipsitz  
 Attorney for Applicant(s)  
 Registration No. 28,637  
 Law Offices of Barry R. Lipsitz  
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 Monroe, CT 06468  
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Date: December 31, 2001  
 Attorney Docket No.: GIC-659

100366001

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: )  
R. Safadi )  
Filed: Herewith )  
Title: METHODS AND APPARATUS FOR DIGITAL RIGHTS )  
MANAGEMENT )

**DRAWING REVIEW BRANCH**  
Commissioner for Patents  
Washington, D.C. 20231

**CERTIFICATE OF MAILING**

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as Express Mail (No. EV 046 687 002 US) in an envelope addressed to: BOX PATENT APPLICATION, Commissioner for Patents, Washington, D.C. 20231 on: December 31, 2001

By: Carol Prentice  
Carol Prentice

**TRANSMITTAL OF FORMAL DRAWING(S)**

Dear Sir:

Enclosed is ONE (1) sheet of formal drawing for filing in the above-referenced patent application.

Please advise the undersigned attorney if correction is necessary.

Respectfully submitted,

Barry R. Lipsitz  
Barry R. Lipsitz  
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Monroe, CT 06468  
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Date: December 31, 2001  
ATTORNEY DOCKET NO.: GIC-659